

WealthPLAN Partners Privacy Policy Notice

Rev. March 2020

| FACTS | WHAT DOES OUR FIRM DO WITH YOUR FINANCIAL INFORMATION? |
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| Why? | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do. |
| What? | <p>The types of personal information we collect and share depends on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> ▪ Social Security number and income ▪ Account balances and assets ▪ Transaction history ▪ Income ▪ Investment Experience ▪ Retirement Assets <p>When you are no longer our customer, we will continue to hold this information and share it as described in this notice.</p> |
| How? | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information and whether you can limit this sharing. |

| Reasons we can share your personal information | Do we share? | Can you limit this sharing? |
|---|--------------|-----------------------------|
| For our everyday business purposes – such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, assist affiliated broker dealer firms having regulatory requirements to supervise certain activities, or report to credit bureaus | Yes | No |
| For our marketing purposes – to offer our products and services to you | No | Not Applicable |
| For joint marketing with other financial companies | No | Not Applicable |
| For our affiliates' everyday business purposes – information about your transactions and experiences | No | Not Applicable |
| For our affiliates' everyday business purposes – information about your creditworthiness | No | Not Applicable |
| For our affiliates to market to you | No | Not Applicable |
| For non-affiliates to market to you | No | Not Applicable |

Call (402) 333-5448

| Who we are | |
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| Who is providing this notice? | WealthPLAN Partners (“WPP”) |
| What we do | |
| How does the Firm protect my personal information? | <p>To protect your personal information from unauthorized access and use, We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.</p> <p>Regulation S-ID: Regulation S-ID requires our firm to have an Identity Theft Protection Program (ITPP) that controls reasonably foreseeable risks to customers or to the safety and soundness of our firm from identity theft. We have developed an ITPP to adequately identify and detect potential red-flags to prevent and mitigate identity theft.</p> |
| How does the Firm collect my personal information? | <p>We collect your personal information from you, for example, when you:</p> <ul style="list-style-type: none"> ▪ Open an account ▪ Deposit money ▪ Seek advice about your investments ▪ Enter into an investment advisory contract ▪ Tell us about your investment or retirement portfolio or earnings <p>We also collect your personal information from other companies.</p> |
| Definitions | |
| Affiliates | <p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We have no affiliates</i> |
| Non-affiliates | <p>Companies not related by common ownership and control. They can be financial or nonfinancial companies.</p> <ul style="list-style-type: none"> ▪ <i>We do not share with non-affiliates so that they can market to you.</i> |
| Joint marketing | <p>A formal agreement between nonaffiliated financial companies that together market financial products or service to you.</p> <ul style="list-style-type: none"> ▪ <i>We do not jointly market</i> |

| Additional Information About Our Privacy Policy | |
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| Cyber Security | Internal policies and procedures are in place to address cyber security. A copy of this policy is available upon request. |
| Departing Investment Adviser Representatives (“IARs”) | <p>If your IAR’s affiliation with WPP ends and he or she joins a non-affiliated securities broker-dealer or registered investment adviser, WPP will permit the IAR to use certain client contact information to solicit clients to join the IAR’s new firm. The client contact information that the IAR may use is limited to your name, address, email address, phone number and account title.</p> <p>Certain states have adopted a requirement for you to approve the sharing of information in advance, otherwise known as an “opt-in” choice. If you live in an “opt-in” state (e.g., California, Massachusetts, Maine, Alaska, North Dakota or Vermont), then WPP will require your consent to share your information with unaffiliated third parties who are not servicing your account. State requirements vary and may change without notice.</p> |
| Succession Planning | In the event that the owner(s) of WPP retire, become incapacitated or perish unexpectedly, your information would be disclosed to an unaffiliated third party for the purposes of facilitating a business succession plan. A change in control of ownership of WPP would require your consent, as dictated by your signed agreement with WPP, in order to continue providing services to you. |
| Complaint Notification | Please direct complaints to: Christopher McMillan at WealthPLAN Partners, 101 South 108 th Avenue, Second Floor, Omaha, NE 68154; (402) 697-5458 |
| Closed or Inactive Accounts | If you decide to close your account(s) or become an inactive customer, our Privacy Policy will continue to apply to you. |
| Changes to This Privacy Policy | If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please contact us at: WealthPLAN Partners, 101 South 108 th Avenue, Second Floor, Omaha, NE 68154; (402) 697-5458 |